

# INTEGRATED WASTEWATER AND SEPTAGE MANAGEMENT PLANNING MODULE

## PART C: WORKBOOK







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Integrated Wastewater and Septage Management - Planning Module (Part: C Workbook)

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Deep Pahwa, Devender Singh Rawat, Bhavnesh Bhanot, Preeti Shukla

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## CONTENT

The module has been developed with the collaborative effort of NFSSMA partner organisations under Training Module Review Committee (TMRC) anchored by NIUA.

Editor	Authors	Contributor and reviewer
National Institute of Urban Affairs (NIUA)	National Institute of Urban Affairs Ecosan Services Foundation	National Faecal Sludge and Septage Management Alliance (NFSSMA)

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## CONTACT

National Institute of Urban Affairs  
1st and 2nd floor Core 4B,  
India Habitat Centre,  
Lodhi Road, New Delhi 110003, India  
Website: [www.niua.org](http://www.niua.org), [scbp.niua.org](http://scbp.niua.org)  
E-Mail: [scbp@niua.org](mailto:scbp@niua.org)

# INTEGRATED WASTEWATER AND SEPTAGE MANAGEMENT- PLANNING MODULE

## PART: C WORKBOOK

Collaborative Effort Under Training Module Review Committee (TMRC)





# Foreword

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# Acknowledgement

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## About National Faecal Sludge and Septage Management Alliance (NFSSMA)

The 'NFSSM Alliance' was formed with a vision to "Create an enabling environment which amplifies scaling of safe, sustainable and inclusive FSSM through knowledge, partnerships and innovative solutions by 2024".

Convened by Bill and Melinda Gates Foundation in 2016, the Alliance is a voluntary body that aims to:

- Build consensus and drive the discourse on FSSM at a policy level, and
- Promote peer learning among members to achieve synergies for scaled implementation and reduce duplication of efforts.

The Alliance currently comprises 28 organizations across the country working towards solutions for Indian states and cities. The Alliance works in close collaboration with the Ministry of Housing and Urban Affairs (MoHUA) and several state and city governments through its members to support the progress and derive actions towards mainstreaming of FSSM at state and national level. The NFSSM Alliance works on all aspects of city sanitation plans to regulatory and institutional frameworks across the sanitation value chain. The NFSSM Alliance working in collaboration with the Ministry of Housing and Urban Affairs has been instrumental in the passage of India's First Policy on FSSM launched in 2017. This resulted in 19 out of 36 states adopting guidelines and policies for FSSM in India.

The strength of the Alliance lies in its diverse membership, which includes research institutes, academic institutions, think-tanks, quasi-government bodies, implementing organizations data experts, consultants and intermediaries. This enabled a multi-disciplinary view of urban sanitation, with members building on each other's expertise. The alliance has had enormous success in championing FSSM as a viable solution to the Government of India by broadly focussing on:

1. Influencing and informing policy.
2. Demonstrating success through innovation and pilots.
3. Building capacities of key stakeholders across the value chain.

The collaborative continues to work towards promoting the FSSM agenda through policy recommendations and sharing best practices which are inclusive, comprehensive, and have buy-in from several stakeholders in the sector.



### **About Training Module Review Committee (TMRC)**

To ensure quality control in content and delivery of trainings and capacity building efforts, a Training Module Review Committee (TMRC) was formed with the collaborative effort of all Alliance partners. TMRC which is anchored by National Institute of Urban Affairs (NIUA), has the following broad objectives:

- Identification of priority stakeholders and accordingly training modules for Capacity Building
- Development of a Normative Framework – For Capacity Building at State Level.
- Standardization of priority training modules – appropriate standardization of content with flexibility for customization based on State context.
- Quality Control of Trainings – criteria for ensuring minimum quality of training content and delivery.
- Strategy for measuring impact of trainings and capacity building efforts.



## About the Training Module

<b>Title</b>	Integrated Wastewater and Septage Management - Planning Module (Part A: Presentation Slides)
<b>Purpose</b>	The module introduces a city/town perspective of an integrated planning approach to managing wastewater and septage including methods and technological options for treatment.  With the announcement of SBM-U 2.0 and AMRUT 2.0, continuation of NMCG and the recommendations of the 15th Finance Commission, this course provides participants a holistic understanding of wastewater and septage management approaches, which is a key component in these national missions.
<b>Target Audience</b>	Decision-makers, technical and planning officials from state governments and ULBs with a basic understanding and professional experience in wastewater and septage management.
<b>Learning Objectives</b>	<ol style="list-style-type: none"> <li>1. Gain in depth knowledge of wastewater and septage management principles and approaches</li> <li>2. Understanding of different sanitation systems and technologies with a focus on wastewater and septage conveyance technologies</li> <li>3. Gain knowledge about the different treatment principles and technologies available for wastewater and septage management</li> </ol>
<b>Format of the Module</b>	<p>The training module is based on case methodology where the sessions are combined with exercises based on real-life cases. This helps the trainee to apply the knowledge grasped during the session and reinforce it further.</p> <p>The module is divided into three parts:</p> <p>Part A: This contains the slides used during the session in the presentation format.</p> <p>Part B: This is a comprehensive compilation of the all the session briefs and further reading material which helps to strengthen the learning.</p> <p><b>Part C: This contains the exercise developed for training based on the real-life cases.</b></p>
<b>Duration</b>	In a face to face training format, this training is conceptualized for 3 days without site visits and can be adopted for including the site visits depending upon the city where it is being conducted.

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**Section**

**01**

**City Profile**

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# 1 Introduction

The city in consideration is a coastal city located in the delta region of a river. The total area of the city is 16.80 km<sup>2</sup>. It has been divided into 32 administrative wards. In 2001, the city's population was recorded as 1,57,837. The decadal population growth is approximately 30%.

## 1.1 Demography

According to the Census of 2011, the city's population was recorded as 2,00,564 and the total number of households was 41,140. There are 24 notified informal settlements in the city and about 32% of the population resided in these settlements.

## 1.2 Climatic data

As a coastal town, the city is characterised by high humidity. This also results in high rainfall during monsoon season. The average rainfall received per annum is 1,337 inches. The annual average high and low temperature is recorded as 30.20 °C and 23.70 °C, respectively.

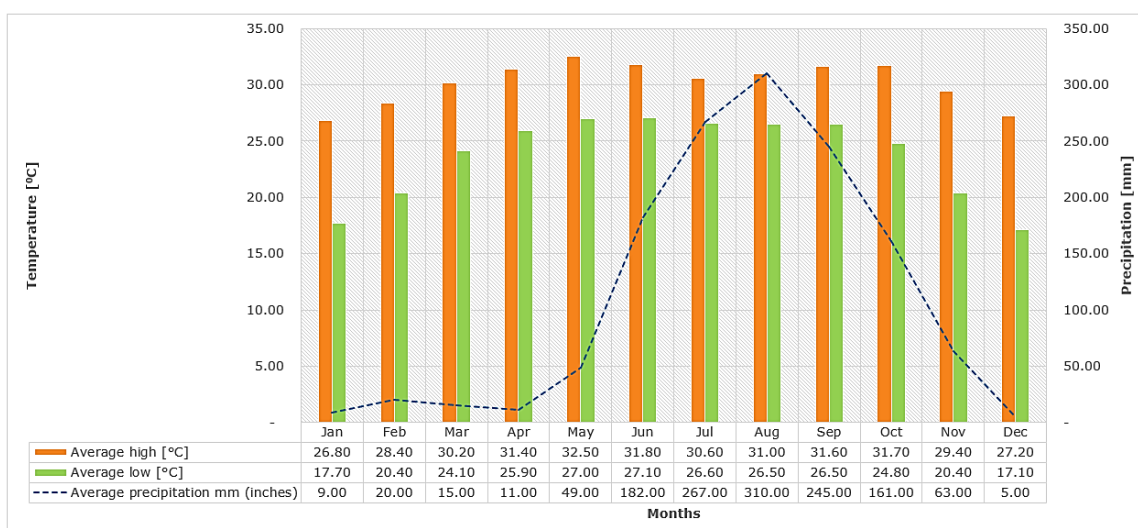


FIGURE 1: INFORMATION PERTAINING TO CLIMATIC CONDITIONS PREVALENT IN THE CITY

## 1.3 Water supply

The main source of water in the city is groundwater, which can be found at a depth of 5m in many parts of the city. After conducting a hydro-geological survey, the Urban Local Body (ULB) developed a piped water supply scheme to tap the groundwater to serve the city. The total water demand (including losses) is estimated to be 31 MLD. The current water supply is approximately 27 MLD (including losses). Out of 32 wards, 28 wards have 100% piped water

supply. The total service connections are approximately 18,639. The ULB claims to provide 119 LPCD as water supply to the population. The Non-Revenue Water (NRW) is recorded to be significantly high at 55%, mainly due to the high proportion of the population residing in informal settlements across the city.

## 1.4 Sanitation

During the 2011 Census, following data was captured pertaining to user interface and containment units.

**TABLE 1: CENSUS DATA ON USER INTERFACE AND CONTAINMENT UNIT AS IN 2011**

<b>Description</b>	<b>Value</b>
<b>Households practising open defecation</b>	<b>19%</b>
<b>Individual Household Toilet (IHHT) coverage</b>	<b>58%</b>
<b>Community toilet coverage</b>	<b>23%</b>
<b>Number of community toilet blocks</b>	<b>9</b>
<b>Number of public toilet blocks</b>	<b>15</b>
<b>IHHT connected to septic tank</b>	<b>43%</b>

Following is the data recorded regarding conveyance and treatment of wastewater.

**TABLE 2: CENSUS DATA ON CONVEYANCE AND TREATMENT AS IN 2011**

<b>Description</b>	<b>Value</b>
<b>Sewerage connections</b>	<b>57%</b>
<b>Area covered by pucca drains</b>	<b>81%</b>
<b>Wastewater generated</b>	<b>12 MLD</b>
<b>STP design capacity</b>	<b>15 MLD</b>
<b>Water flowing through stormwater drains</b>	<b>10 MLD</b>

The treated wastewater from the STP is disposed off in stormwater drains which are connected to the river.



Section

02

# Decentralized Wastewater Management

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## 2 Residential Property

Lake View Residency is a residential project located adjacent to a prominent lake in this city. The project is located in a newly added area within the municipal administrative limits. Details of this residential project are provided in Table 3.

TABLE 3: DETAILS OF LAKE VIEW RESIDENCY PROJECT

Number of buildings	2	no.
Number of floors	5	per building
Apartments	4	per floor
Total number of apartments	_____	no.
Number of persons per apartment <sup>1</sup>	5	no.
Total population	_____	no.

### 2.1 Water consumption

Provision of water through a piped supply system has been initiated by the municipal body. It is planned to supply 90 LPCD of piped water to this residential project.

**Considering the water supply for the project, do you think that the project is eligible to get a sewerage connection? If “NO”, give a rationale.**

**What is the total wastewater generation assuming that the amount of wastewater is equivalent to 80% of the water consumed?**

---

<sup>1</sup> The average persons per apartment is 4. However, it is recommended to assume one additional person per apartment. This accounts for any increase in population in any given year.



However, it should be kept in mind that such residential projects use groundwater as an alternate source of water. A borewell is installed within the project premises and groundwater is supplied to the users in addition to the piped water supply.

Details of alternate water source for the proposed project:

Groundwater extracted <sup>2</sup>	15	KLD
Groundwater consumed	_____	LPCD
Total water consumption	_____	LPCD

**Based on the total water consumption per person, do you think that the project is eligible to get a sewerage connection? If “YES”, then explain your answer?**

**What is the total water consumption on a daily basis for the project?**

$$\text{Total water consumption [KLD]} = \text{Total population [no.]} \times \text{Water consumption [LPCD]}$$

**Total water consumption = \_\_\_\_\_ KLD**

**What is the total wastewater generation assuming that the amount of wastewater is equivalent to 80% of the water consumed?**

$$\text{Total wastewater generation [KLD]} = \text{Total water consumption [KLD]} \times 0.80$$

**Total wastewater generation = \_\_\_\_\_ KLD**

---

<sup>2</sup> The quantum of ground water extracted can be calculated based on the flow rate of the pump and the operational hours of the pump in a day.

The municipal body has passed a bylaw stating that all projects having more than or equal to 80 apartments should treat their own wastewater and reuse atleast 20% of this treated wastewater. The project developer has reached out to you for support in wastewater management in this project.

During the site visit, you carry out sample survey and make observations on water consumptions for various purposes in a household.

Following are the details of average water consumption<sup>3</sup> (in LPCD) in a household.

Purpose	Quantity	Unit
Drinking	5	LPCD
Cooking	5	LPCD
Flushing of WC	25	LPCD
Bathing	60	LPCD
Washing of clothes	25	LPCD
Washing of utensils	15	LPCD
Cleaning of house	15	LPCD
Gardening etc	15	LPCD

## 2.2 Wastewater quantification

Identify activities resulting in blackwater generation and calculate the total blackwater generation in litres per capita per day.

List of purposes contributing to generation of blackwater:

**Blackwater generation = \_\_\_\_\_ LPCD**

Similarly, identify activities which lead to greywater generation and calculate the total greywater generation in litres per capita per day.

List of activities contributing to generation of greywater:

**Greywater generation = \_\_\_\_\_ LPCD**

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<sup>3</sup> It is recommended to check details of water fixtures to calculate the water consumption for various purposes instead of depending on the percentages mentioned in the literature. At a decentralized scale, these percentages do not apply and can lead to wrong estimation.

**Calculate total blackwater and greywater generation. Also, determine blackwater and greywater generation as percent of total water consumption for the project.**

$$\text{Wastewater generation [KLD]} = \text{Total population [no.]} \times \text{Wastewater generation [LPCD]}$$

$$\text{Wastewater generation [\%]} = \frac{\text{Wastewater generation [KLD]}}{\text{Total water consumption [KLD]}} \times 100$$

Calculation for blackwater generation

**Total blackwater generation = \_\_\_\_\_ KLD and \_\_\_\_\_ %**

Calculation for greywater generation

**Total greywater generation = \_\_\_\_\_ KLD and \_\_\_\_\_ %**

Calculation for wastewater generation

$$\begin{aligned} \text{Total wastewater generation [KLD]} \\ = \text{Blackwater generation [KLD]} + \text{Greywater generation [KLD]} \end{aligned}$$

**Total wastewater generation = \_\_\_\_\_ KLD and \_\_\_\_\_ %**

**Write the learnings from this exercise in brief.**

## 2.3 Diurnal curve

A diurnal curve is a plot of wastewater generation per hour with respect to time of the day. A typical diurnal curve for a residential property such as Lake View Residency is shown in Figure 2. It can be clearly observed that wastewater generated in a day is not evenly spread across a 24-hour time period.

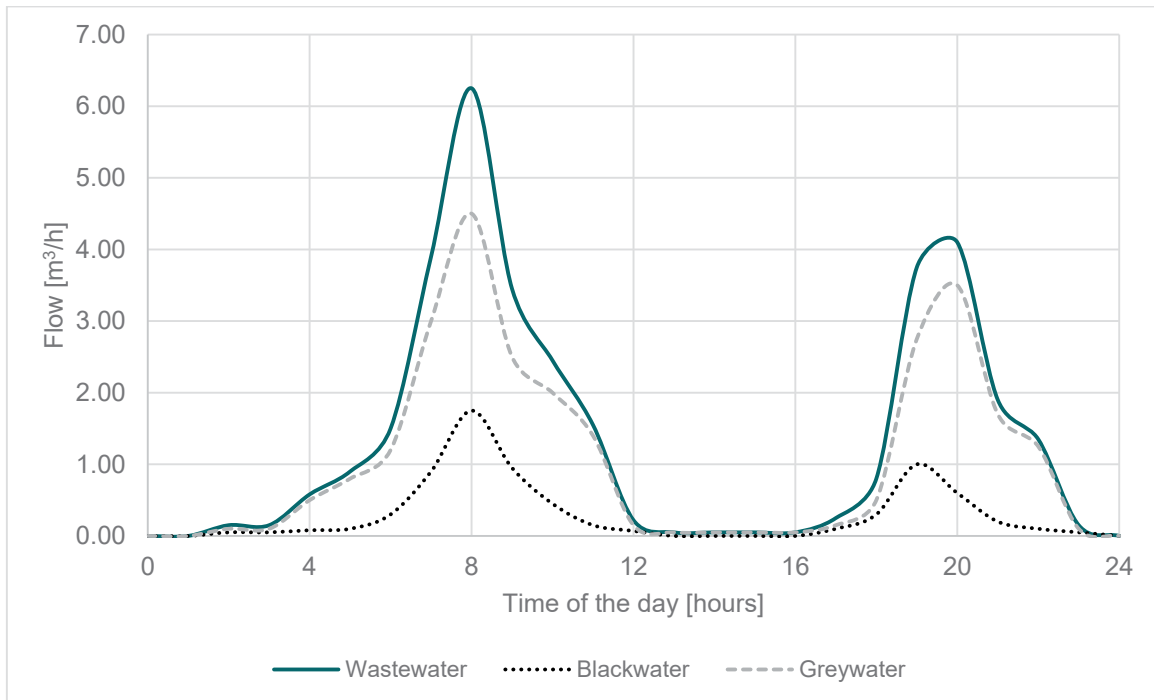


FIGURE 2: A TYPICAL DIURNAL CURVE FOR WASTEWATER GENERATION IN A RESIDENTIAL PROJECT

In a typical diurnal curve, it can be observed that around 80% of daily wastewater generation is spread over 8 hours i.e. 4 hours each in the morning and evening, respectively. The flow of wastewater during these time periods are known as peak flow of wastewater and hours during which it is generated is known as the peak flow hours.

Any wastewater treatment system should be designed to cater to these peak flows. In a decentralized wastewater treatment system (DWTS)<sup>4</sup>, it needs to be designed considering the peak flow. Designing a non-mechanized treatment system at peak flow results in a large footprint area. However, there are no major electro-mechanical equipment like pumps required to operate the treatment plant. Hence, the overall operation and maintenance (O&M) costs for such a treatment system are found to be low.

<sup>4</sup> DWTS refers to a non-mechanized wastewater treatment system based on treatment modules such as anaerobic settler, anaerobic baffled reactor, and constructed wetlands.

However, fitting DWTS into any residential project requires careful planning. In cases where application of DWTS is not possible, other mechanized wastewater treatment systems<sup>5</sup> can be considered. Generally, any mechanized wastewater treatment system is provided with an equalization tank as the receiving unit. Equalization tank helps absorb the variation in the hydraulic load and provides a homogeneous flow of wastewater to the subsequent units of the treatment system. The wastewater is pumped using a solid handling pump from equalization tank to subsequent units at a constant rate of over 8 hours. Additionally, these treatment systems are designed for 20 hours of operation in a day. Since the operating hours are long, 3 sets of pump are provided with the equalization tank and they operate in a '2 working + 1 standby' protocol.

## 2.4 Wastewater characterization

Wastewater characterization can be done through a planned sampling and wastewater analysis. As a thorough analysis of wastewater is found to be too expensive, consultants rely on characterisation given in the literature. Figure 3 gives the domestic wastewater characteristics mentioned in the CPHEEO Manual of Sewerage and Sewage Treatment (2013).

Item	Per capita contribution (g / c / d)	water supply (L / c / d)	Sewage Generation 80 % of (3)	Concentration (mg/L)
(1)	(2)	(3)	(4)	(5)
BOD	27.0	135	108	250.0
COD	45.9	135	108	425.0
TSS	40.5	135	108	375.0
VSS	28.4	135	108	262.5
Total Nitrogen	5.4	135	108	50.0
Organic Nitrogen	1.4	135	108	12.5
Ammonia Nitrogen	3.5	135	108	32.5
Nitrate Nitrogen	0.5	135	108	5.0
Total Phosphorus	0.8	135	108	7.1
Ortho Phosphorous	0.5	135	108	5.0

**FIGURE 3: WASTEWATER CHARACTERISTICS FROM THE CPHEEO MANUAL ON SEWERAGE AND SEWAGE TREATMENT (2013)**

It can be seen that the concentration of various parameters depends on the water supply (or water consumption to be more specific). Thus, in cases where the water supply is not equal

<sup>5</sup> Mechanized wastewater treatment systems refer to technologies based on activated sludge process such as sequential batch reactor (SBR), moving bed bio-reactor (MBBR), membrane bio-reactor (MBR).

to 135 LPCD or where wastewater generation is not equal to 80% of water supplied (or water consumed), the concentration of these parameters will differ.

The calculation of concentration for each parameter is done using the following formula:

$$\text{Parameter} \left[ \frac{\text{mg}}{\text{L}} \right] = \frac{\text{Per capita contribution} \left( \frac{\text{g}}{\text{d}} \right) \times \text{Water supply (LPCD)}}{80\% \times \text{Water supply (LPCD)}}$$

It is recommended to conduct wastewater sampling and analysis prior to designing a wastewater treatment system. This ensures that the design is based on the actual **organic loading**.

Per capita contribution of various organic and inorganic constituents gives a reliable value for wastewater parameters. After performing wastewater quantification, the per capita contribution can be used to estimate actual wastewater characteristics. Figure 4 gives per capita contributions of various constituents in the Indian context.

Parameters		Range			
1	Biochemical oxygen demand, BOD	45-54			
2	Chemical oxygen demand, COD	1.6-1.9 times BOD			
3	Total organic carbon, TOC	0.6-1.0 times BOD			
4	Total solids, TS	170-220			
5	Suspended solids, SS	70-145			
6	Grit (inorganic, 0.2 mm and above)	5-15			
7	Grease	10-30			
8	Alkalinity as calcium carbonate (CaCO <sub>3</sub> )	20-30			
9	Chlorides	4-8			
10	Total nitrogen N	6-12			
11	Organic nitrogen	~0.4 total N			
12	Free ammonia	~0.6 total N			
13	Nitrate	~0.0-0.5 total N			
14	Total phosphorus	~0.6-4.5			
15	Organic phosphorus	~0.3 total P			
16	Inorganic(ortho- and poly-phosphates)	~0.7 total P			
17	Potassium(as potassium oxide K <sub>2</sub> O)	2.0-6.0			
Microorganisms in 100 ml of sewage					
18	Total bacteria	10 <sup>9</sup> -10 <sup>10</sup>	22	Protozoan cysts	Up to 10 <sup>3</sup>
19	Coliforms	10 <sup>9</sup> -10 <sup>10</sup>	23	Helminthic eggs	Up to 10 <sup>3</sup>
20	Faecal streptococci	10 <sup>5</sup> -10 <sup>6</sup>	24	Virus (plaque forming units)	10 <sup>2</sup> -10 <sup>4</sup>
21	Salmonella Typhosa	10 <sup>1</sup> -10 <sup>4</sup>			

FIGURE 4: PER CAPITA CONTRIBUTION OF ORGANIC AND IN ORGANIC CONSTITUENTS IN WASTEWATER. SOURCE- ARCEIVALA (2000)



Section

**03**

# **Sanitation in Informal Settlements**

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### 3 Sanitation in Informal Settlements

The city has a notified informal settlement in Tridev Nagar area of the city. Recently, a new development took place in this settlement where approximately 80-100 households were built. Due to administrative and practical constraints, it is not possible to provide Individual Household Toilet (IHHT) for these households. The existing sanitation blocks are situated far away from these households. Under the Swachh Bharat Mission (SBM), the ULB has awarded a Build, Operate and Transfer (BOT) contract for a sanitation block to a Non-Government Organization (NGO) in the city.

The NGO conducted a sample survey, and existing sanitation blocks were also surveyed for their design and operation. It was observed that no health faucets were provided either with the water closet or in the bathroom. But a common tap or a tank was provided which supplied water to the user. This served two purposes:

1. Limiting the scope for overuse of water, thereby reducing its wastage.
2. Reducing the operation and maintenance (O&M) costs by providing a common tap or tank.

Results of the sample survey are given in Table 4.

**TABLE 4: RESULTS OF THE SAMPLE SURVEY CONDUCTED BY NGO IN TRIDEV NAGAR SETTLEMENT**

Description	Number	Unit
Population	400	no.
Households	80	no.
Men	232	no.
Women	168	no.
Water use in water closet (WC) <sup>6</sup>	8	L/user
Water consumption in bath <sup>7</sup>	40	L/user
Water consumption in wash area	60	L/HH
Water required for cleaning of blocks	10%	
Population using WC twice a day	30%	
Population taking bath twice a day	10%	

#### Observations

<sup>6</sup> Depends on capacity of cistern flush in the WC or should be equivalent to two small buckets.

<sup>7</sup> Usually, taken as capacity of one large bucket per use.



A plot of land has been designated for setting up of a community sanitation block in the settlement. This plot is accessible to most of the households. There is a 3.2 m wide approach road to this piece of land. The stormwater drain running adjacent to this plot carries wastewater from the non-sewered areas.

Additionally, the settlement has a small-scale dairy business run by a Community-Based Organization (CBO). Cattle owners supply milk to this dairy. With regards to dealing with the cattle dung, the families practice disposal into a natural pit. This pit is located within the boundary of the plot designated for the proposed community sanitation block. As a result, there is an issue of odour as well as overflow of cow-dung into the stormwater drain flowing along the plot. In fact, the issue is further aggravated during monsoon, when the surface run-off carries all the waste through this stormwater drain.

### 3.1 Sanitation requirement

You are a freelance expert in the field of solid and liquid waste management. The NGO has requested your services in planning of sanitation infrastructure for this new pocket of the settlement.

The first step is to understand sanitation infrastructure required on the basis of information gathered in Table 4.

To calculate the number of water closets (WCs), baths, urinals and wash area required for the community sanitation block, refer to the SBM (Urban) Guidelines issued by the Ministry of Housing and Urban Affairs (MoHUA) in 2014.

Toilet Seats	Bath units	Urinal units	Clothes washing Area
One seat for 35 men	One unit per 50 users	One unit per 200 – 300 users	4 to 5 sq. meters per 10 toilet seats; Min. 1.5 m x 1.2 m
One seat for 25 women			

Description	Optimum (mm)	Minimum* (mm)
Toilet cubicles	900 x 1200	750 x 900
Bath rooms	1050 x 1200	900 x 1050
Urinals (divided into units by partition walls)	575 x 675	500 x 600
Washing area	1750 x 1500	1200 x 1500

*Note: \*In case of space constraint the minimum sizes may be adopted. However, it has been observed that the minimum dimensions, which are found acceptable for individual household toilet units, are sometimes not being accepted for community toilet cubicles, because while a user is willing to bear the discomfort in his own premises in exchange of other conveniences, he or she is not willing to use a confined space in a community toilet due to odour and hygiene issues and thus is susceptible to reverting to defecating in the open.*

**FIGURE 5: GUIDELINES FOR DESIGNING A COMMUNITY SANITATION BLOCK BASED ON SANITATION REQUIREMENT.**

**(SOURCE: SBM (URBAN) GUIDELINES (2014))**

## Sanitation requirement

Type of unit	Men	Women	Unit
WC			no.
Bath			no.
Urinals			no.
Wash Area			m <sup>2</sup>

### 3.2 Wastewater quantification

In this case, wastewater generation will be equal to water consumption for the sanitation block.

#### Calculate number of users

Use information given in Table 4 and calculate number of users for each type of unit in the sanitation block.

Assume, each household uses the wash area once a day to wash clothes.

*Number of users [no.] = Population [no.] + (1 + Population using the unit twice)*

WC users

Bath users

Wash area users

**Total number of users = \_\_\_\_\_**

Type of unit	Users	Unit
WC		no.
Bath		no.
Wash area		no.

## Calculate water consumption

Use information given in Table 4 and calculate water consumption for each type of unit in the sanitation block.

$$\text{Water consumption [KLD]} = \text{Total users [no.]} \times \text{water consumption [L/use]}$$

Water Closet

Bathroom

Wash area

*Water consumption [KLD]*

$$\begin{aligned} &= \text{Water consumed in WC} + \text{Water consumed in Bathroom} \\ &+ \text{Water consumed in Wash area} \end{aligned}$$

*Total water consumption [KLD]*

$$= \text{Water consumption [KLD]} \times (1 + \text{Water required for cleaning of block})$$

**Total water consumption = \_\_\_\_\_ KLD**

## Calculate wastewater generation

Considering the amount of wastewater generated equal to amount of water consumed, estimate the generation of blackwater and greywater in the sanitation block.

Total blackwater generation = \_\_\_\_\_ KLD

Total greywater generation = \_\_\_\_\_ KLD

### Wastewater generation

Total wastewater generation [KLD]

$$= \text{Blackwater generation [KLD]} + \text{Greywater generation [KLD]}$$

Total wastewater generation = \_\_\_\_\_ KLD

## 3.3 Diurnal curve

Plot a diurnal curve for community sanitation block.

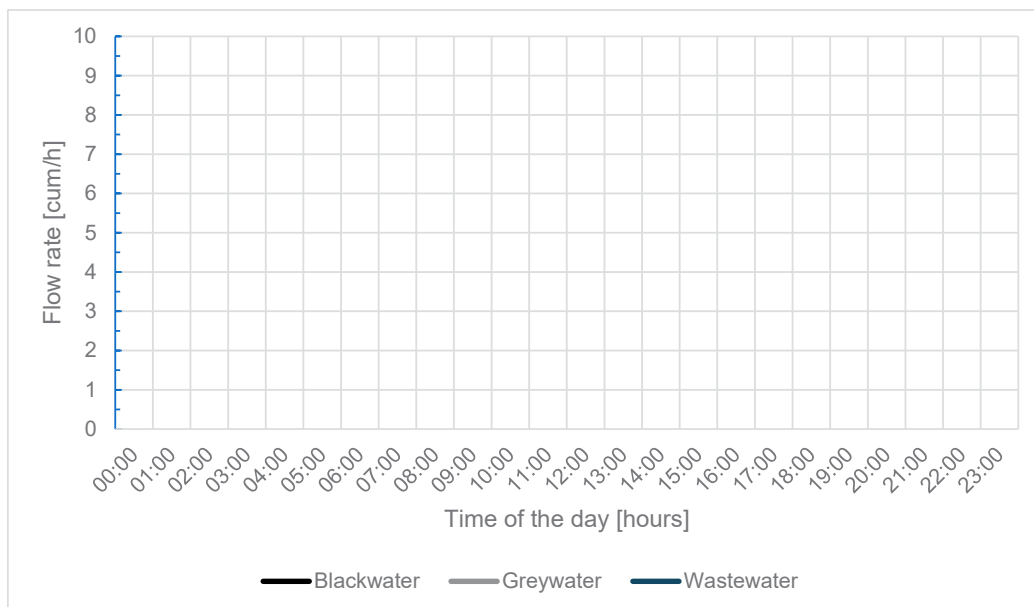


FIGURE 6: DIURNAL CURVE FOR COMMUNITY SANITATION BLOCK

## 3.4 Recommendations

As a solid and liquid waste management expert, what are your recommendations for managing the waste generated at the project site? Can you think of some innovative and holistic solution that will safely manage wastewater as well as cow dung which requires proper disposal? Write down your solution in brief and analyse it further to document its pros and cons.

### **SOLUTION**





Section

**04**

# **Public Sanitation**

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## 4 Public Sanitation

The city has a beach which is visited by the local population on a daily basis. A concerned stretch of coastline is called 'Golden Sand Beach' which runs for 2 km. The ULB needs to install public sanitation blocks on this stretch and wishes to achieve this under the guidelines of Swachh Bharat Mission (SBM). The School of Architecture and Urban Planning has been assigned the task of developing a plan for installation of public sanitation blocks by the ULB. You have been appointed as the project coordinator for this task.

As a first step, you have taken help of students for data collection. Following table summarizes the data collected over a period of 2 weeks.

**TABLE 5: RESULTS OF SAMPLE SURVEY CONDUCTED BY THE SCHOOL OF ARCHITECTURE AND URBAN PLANNING**

Description	Number	Unit
Number of visitors	1200	no.
Men	60	%
Women	40	%
Visitors (both gender) expressed the need to use WC for defecation	6	%
Women who expressed the need to use WC for urination	40	%
Men who expressed the need to use urinals	70	%
Visitors who will wash the hands after using facilities	100	%

### 4.1 Sanitation requirement

Based on the data collected and the CPHEEO Manual on Sewerage and Sewage Treatment (2013), calculate sanitation requirements for installing public toilet blocks in this area. The guidelines for designing a public sanitation block are given below.

NO	Sanitary Unit	For Male	For Female
1.	Water Closet	One per 100 persons up to 400 persons; for over 400 add at the rate of one per 250 persons or part thereof.	Two for 100 persons up to 200 persons; over 200 add at the rate of one per 100 persons or part thereof.
2.	Ablution Taps	One in each W.C.	One in each W.C.
3.	Urinals	One for 50 persons or part thereof.	Nil
4.	Wash Basins	One per W.C. and urinal provided	One per W.C. provided

**Note**

i) It may be assumed that two-thirds of the number are males and one-third females

ii) One water tap with drainage arrangements shall be provided for every 50 persons or part thereof in the vicinity of water closet and urinals.

\*At least 50% of female WCs may be Indian pan and 50% European WC

**FIGURE 7: GUIDELINES FOR DESIGNING A PUBLIC SANITATION BLOCK**  
(SOURCE: CPHEEO MANUAL ON SEWERAGE AND SEWAGE TREATMENT (2013))



## Sanitation requirement

Type of unit	Men	Women	Unit
WC			no.
Urinals			no.
Wash Basins			no.

## 4.2 Number of public sanitation blocks

Along the 2 km length, the Golden Sand Beach has several entry points. However, there is one main entrance that also has a parking facility. You must decide the number of public sanitation blocks you need to install and its location. The location should be chosen in such a way that the blocks are easily accessible to the visitors.

Table 6 gives the detail about sanitation infrastructure and facilities required for various categories of toilets.

**TABLE 6: INFRASTRUCTURE AND FACILITIES REQUIRED IN VARIOUS CATEGORIES OF TOILETS**  
(SOURCE: CPHEEO MANUAL ON SEWERAGE AND SEWAGE TREATMENT (2013))

Type of toilet	Men					Women					Differently abled unit (refer footnote)	Transgender unit (refer footnote)	Caretaker /storage room	Waiting circulating space	Washing area
	Indian WC	Western WC	Urinal	Hand-wash + Mirror	Bath	Indian WC	Western WC	Hand-wash + Mirror	Child care wash + changing room	Bath					
Type 1 Transit Area toilets	Mandatory	Mandatory	Mandatory	Mandatory	Recommended	Mandatory	Mandatory	Mandatory	Recommended	Recommended	Mandatory	Recommended	Mandatory	Recommended	-
Type 2 Institutional Area toilets	Mandatory	Mandatory	Mandatory	Mandatory	Optional	Mandatory	Mandatory	Mandatory	Optional	Optional	Mandatory	Optional	Mandatory	Optional	-
Type 3 Public Space toilets	Recommended	Mandatory	Mandatory	Mandatory	-	Recommended	Mandatory	Mandatory	-	-	Mandatory	Optional	Mandatory	Optional	-
Type 4 Community toilets	Mandatory	Mandatory	Optional	Mandatory	Mandatory	Mandatory	Mandatory	Mandatory	Optional	Mandatory	Recommended	Recommended	Recommended	Recommended	Mandatory
Type 5 Event linked toilets	Optional	Mandatory	Mandatory	Mandatory	-	Optional	Mandatory	Mandatory	-	Optional	Recommended	Optional	-	Optional	-

**Foot note:**

1. Mandatory- Defined as per norms and guidelines already available in CPHEEO Manual / URDPFI Guidelines / IS Codes.
2. Recommended- To be provided based on demand and space availability, but guidelines are not available.
3. Optional- To be provided based on local conditions / demand for such facilities/infrastructure.
4. Blank cells- Facility or service not required.
5. Differently abled unit- Unisex / separate for men or women as per local conditions. Whether to be integrated into the main toilet unit design or separately provided to be decided based on local conditions and space availability.
6. Transgender unit- Subject to local conditions and demand
7. Single toilet units (like E-toilets) are covered under Type 1 & 3 toilets above. Suitable guidelines / norms to be adapted if this is the preferred option over a toilet facility.
8. Standalone Urinal facilities as an option are covered under Type 1, 2, 3, 5 toilets above. Suitable guidelines / norms to be adapted if this is the preferred option over a toilet facility.

The total sanitation requirement (WCs, urinals and wash basins) should be such that it is accessible to users at three different locations. Assuming that one of these sanitation blocks will be located near the main entrance to the beach, it should have the highest number of sanitation facilities. The other two will be of a smaller size and will be located at either ends of the beach. **Give details of the sanitary units at each location.**

<b>Public Sanitation Block 1: Location</b>		
<b>Sanitary unit</b>	<b>Number</b>	<b>Unit</b>
WC		no.
Ablution taps		no.
Urinals		no.
Wash basins		no.

<b>Public Sanitation Block 2: Location</b>		
<b>Sanitary unit</b>	<b>Number</b>	<b>Unit</b>
WC		no.
Ablution taps		no.
Urinals		no.
Wash basins		no.

<b>Public Sanitation Block 3: Location</b>		
<b>Sanitary unit</b>	<b>Number</b>	<b>Unit</b>
WC		no.
Ablution taps		no.
Urinals		no.
Wash basins		no.

## 4.3 Wastewater quantification

### Calculate number of users

In order to estimate the number of users, we will use the information collected through the sample survey (Table 5) and guidelines provided in From \*CPHEEO Manual on Sewerage and Sewage Treatment (2013)\* the guidelines, it can be inferred that:

1. One WC serves up to 100 men and 50 women.
2. One urinal serves up to 50 men.

It can be inferred that of the 100 men and 50 women, 6% of them will use the WC for defecation. And of the 50 women, 40% will be using the WC for urination. Furthermore, 70% of the 100 men will use the urinals.

Table 7 provides the detail of the users per unit and the water consumption per use.

**TABLE 7: NUMBER OF USERS PER SANITATION UNIT AND CORRESPONDING WATER CONSUMPTION FOR EACH UNIT**

Type of unit	Users/unit		Water consumption [L/use]
	Men	Women	
WC	6	3 + 20 <sup>8</sup>	12
Urinals	35	-	0.3
Wash basins	41	23	6

Using the solution from section 4.2 and information from Table 7, find total number of users for each type of sanitary unit.

$$\text{Total users [no.]} = \text{Number of sanitary unit [no.]} \times \text{Number of users} \left[ \frac{\text{users}}{\text{unit}} \right]$$

*Example: For 2 number of WCs, the total users in case of men will be  $2 \times 6 = 12$  users.*

Total number of users for each sanitary unit in each public sanitation block will be:

Public Sanitation Block 1			
Type of unit	Users		Total users
	Men	Women	
WC			
Urinals		-	
Wash basins			

<sup>8</sup> Women using WC for urination.

Public Sanitation Block 2			
Type of unit	Users		Total users
	Men	Women	
WC			
Urinals		-	
Wash basins			

Public Sanitation Block 3			
Type of unit	Users		Total users
	Men	Women	
WC			
Urinals		-	
Wash basins			

### Calculate wastewater generation

$$\text{Wastewater generation } \left[ \frac{L}{d} \right] = \text{Total users [no.]} \times \text{Water consumed } \left[ \frac{L}{\text{use}} \right]$$

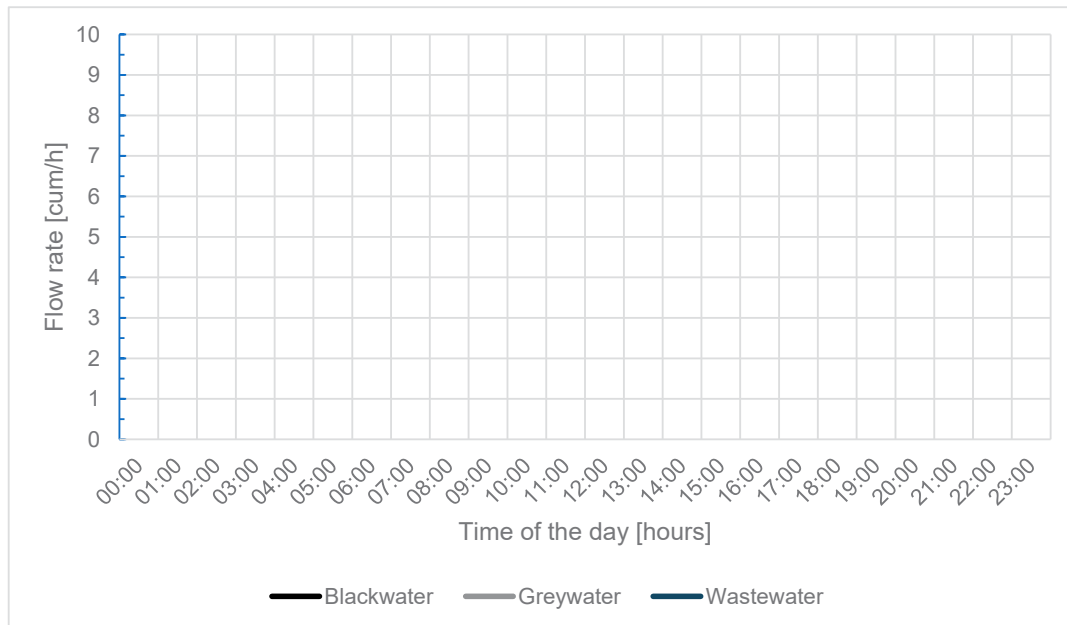
Public Sanitation Block 1			
Type of unit	Total users [no.]	Water consumption [L/use]	Wastewater generation [L/d]
WC			
Urinals			
Wash basins			

Public Sanitation Block 2			
Type of unit	Total users [no.]	Water consumption [L/use]	Wastewater generation [L/d]
WC			
Urinals			
Wash basins			

Public Sanitation Block 2			
Type of unit	Users		Total users
	Men	Women	
WC			
Urinals		-	
Wash basins			

## 4.4 Diurnal curve

Plot a diurnal curve for the public sanitation block.



**FIGURE 8: DIURNAL CURVE FOR PUBLIC SANITATION BLOCK**

## 4.5 Recommendations

The location for public sanitation blocks have been identified. However, following are some of the factors that need to be considered:

1. There is no sewerage network nearby.
2. Ground water table is high and the underlying sandy soil strata is completely saturated with water.
3. Electrical and water supply is available.

As the project coordinator, what is the type of wastewater management system that you suggest for the public sanitation blocks? Provide a flow diagram of the wastewater management system.

### **Solution**

In order to ensure the functionality of these public sanitation blocks and sustainability of the infrastructure, what solution do you recommend?

### **Solution**

Section

**05**

# **FSSM Planning**

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## 5 FSSM Planning

A technical support unit has been set up at the state level. You are appointed as a project manager who has been assigned the task of planning FSSM for this city. As a first step, you conducted a consultation with key stakeholders such as ULB officials, desludging operators and certain households dependent on on-site sanitation systems such as septic tanks. The data was captured through stakeholder consultations and inferences from it are provided in Table 8. The amendment in the Open Defecation Free (ODF) Protocol by MoHUA (2020) mandates that an onsite sanitation system such as septic tank should have a desludging frequency of 3 years.

**TABLE 8: INFERENCES DRAWN FROM STAKEHOLDER CONSULTATIONS FOR PLANNING OF FSSM**

Description	Number	Unit
Persons per household	5	No.
Households connected to septic tank	58%	
Number of community toilet (2020)	16	
Number of public toilets (2020)	21	

Assumption:

Number of working days in a year = 290



SIZE OF SEPTIC TANKS			DESLUDGING FREQUENCY			TRUCK SIZES AVAILABLE		
Households	3	KL	Households	3	years	3000 L and 8000 L		
Community Toilet Blocks	7	KL	Community Toilet Blocks	3	months	TRIPS PER DAY		
Public Toilet Blocks	4	KL	Public Toilet Blocks	6	months	3000 L	3	no.
						8000 L	1	no.

### 5.1 Foundation

After completing data analysis, the next step is to plan for emptying and conveyance of waste material from the containment units. It is important to prepare a strong foundation for the planning of these services. For this, we assume a base year<sup>9</sup> for the project as 2021. Calculate population in the base year using the information given in section 1. In case of emptying and

<sup>9</sup> Base year is the year when the implementation of the project will finish and the infrastructure will be commissioned.



conveyance, planning is done for the base year. As the population grows, additional desludging equipment such as vacuum trucks can be introduced when necessary.

### **Base year population**

$$\text{Future population} = \text{Past population} \times (1 + R)^n$$

**Base year population = \_\_\_\_\_ no. of persons**

### **Base year household**

$$\text{Number of households} = \frac{\text{Population}}{\text{No. of persons per household}}$$

**Base year households = \_\_\_\_\_ no. of households**

### **Households having septic tank**

$$\begin{aligned} \text{Households having septic tank [no.]} \\ &= \text{Number of households [no.]} \\ &\times \text{Percent of households connected to septic tank [\%]} \end{aligned}$$

**Households having septic tank = \_\_\_\_\_ no. of households**

## **5.2 Planning for emptying of containment units**

Next, we calculate the containment units to be serviced. It can be observed from Table 8 that the containment units connected to residential properties are emptied every 3 years. On the other hand, the containment units connected to community toilets (CTs) and public toilets

(PTs) are emptied frequently. It is assumed that total number of working days<sup>10</sup> in a year are 290.

In case of residential properties, we calculate the number of households (HHs) to be serviced per day.

$$\text{HHs to be serviced per day} = \frac{\text{No. of HHs with septic tank}}{\text{Desludging frequency} \times \text{No. of working days in a year}}$$

**HHs to be serviced per day = \_\_\_\_\_ no. of households**

### **Community toilets (CTs) and public toilets (PTs) to be serviced**

Assume, number of weeks per month as 4 and the CTs and PTs are connected to septic tanks. Since the number of CTs and PTs are low, we will calculate the toilets to be serviced per week.

$$\text{CT or PT to be serviced per week} = \frac{\text{No. of CT or PT with septic tank}}{\text{desludging frequency} \times \text{no. of weeks in a month}}$$

**CTs to be serviced per week [no.] = \_\_\_\_\_ no. of CTs**

**PTs to be serviced per week [no.] = \_\_\_\_\_ no. of PTs**

**Total CTs and PTs to be serviced per week [no.] = \_\_\_\_\_ no. of toilets**

---

<sup>10</sup> Working days are calculated by deducting 52 Sundays and 23 additional public holidays from total number of days in a year.

### 5.3 Planning for conveyance of waste from containment units

After planning for emptying of containment units, the next step is to plan for conveyance of the waste material. Here, we calculate the number of trucks required for providing desludging services to various properties. Refer to Table 8 and carefully choose the capacity of truck to provide desludging services.

#### Calculate number of trips required for servicing HHs

*No. of trips required per day*

$$= \frac{\text{No. of HHs to be serviced per day} \times \text{Capacity of septic tank}}{\text{Capacity of a truck}}$$

**No. of trips required = \_\_\_\_\_ no. of trips**

#### Calculate number of trucks required for servicing HHs

$$\text{Number of trucks required} = \frac{\text{No. of trips required}}{\text{No. of trips per day for truck}}$$

**No. of trucks required = \_\_\_\_\_ no. of trucks**

The containment units connected to CTs and PTs have a higher depth due to constraints in land area. Also, there are situations when the location of these sanitation blocks is not adjacent to an access road. Hence, to desludge such containment units, a higher capacity of vacuum pump is required. The larger capacity trucks can carry such higher capacity vacuum pumps for operation.

Conveyance of sludge using higher capacity trucks as compared to doing multiple trips while using lower capacity trucks is economical and highly recommended.

Since CTs and PTs are assets owned by the ULB, desludging activity can be scheduled by the ULB for these toilets.

### Calculate number of trips required for servicing CTs and PTs

$$\text{No. of trips required per day} = \frac{\text{Total units to be serviced per week}}{\text{Number of working days in a week}}$$

**No. of trips required = \_\_\_\_\_ no. of trips**

### Calculate number of trucks required for servicing CTs and PTs

$$\text{Number of trucks required} = \frac{\text{No. of trips required}}{\text{No. of trips per day for truck}}$$

**No. of trucks required = \_\_\_\_\_ no. of trucks**

In some cases, the CTs might not be accessible to the trucks. It is advisable to use a vacutug to empty faecal sludge from such containment units and transfer it to a truck parked on the nearest access road.

## 5.4 Quantification of waste material

Waste material emptied from the containment units can be classified as faecal sludge or septage, depending on the time period for which the waste material was contained in the unit. In case of a 3-year desludging frequency, the waste from containment units will be classified as septage. On the other hand, the waste coming from CTs and PTs is classified as faecal sludge. The next step is to find the quantum of faecal sludge and septage (FSS) to be handled at a treatment plant on a daily basis.

$$\begin{aligned} \text{Quantity of faecal sludge/septage to be handled [KLD]} \\ = \text{Total no. of trips} \times \text{Capacity of the truck} \end{aligned}$$

Quantity of septage to be handled = \_\_\_\_\_ KLD

Quantity of faecal sludge to be handled = \_\_\_\_\_ KLD

It is important to understand that there is a variation in the quantum of FSS to be handled on a daily basis. This helps to decide the type of treatment units to be considered in a treatment plant. If the amount of faecal sludge is low, it can be blended with septage and co-treated at a faecal sludge and septage treatment plant (FSSTP). On the other hand, a higher quantum of faecal sludge (or sewage sludge) that needs to be handled, an anaerobic digester is recommended as one of the treatment units. While there are several benefits of installing an anaerobic digester, it is important to note that this unit will result in increase of capital as well as operational expenses of treatment.

Alternatively, desludging of the containment units connected to public properties with CTs and PTs can be scheduled so as to optimise the quantity of the faecal sludge to be handled. This could allow for the elimination of providing an anaerobic digester at the treatment plant.

### 5.5 Faecal Sludge and Septage Management (FSSM) approach

Depending on the quantity of FSS to be handled and the existing infrastructure available with the ULB, the following decision making diagram can be used to decide the approach for FSSM.

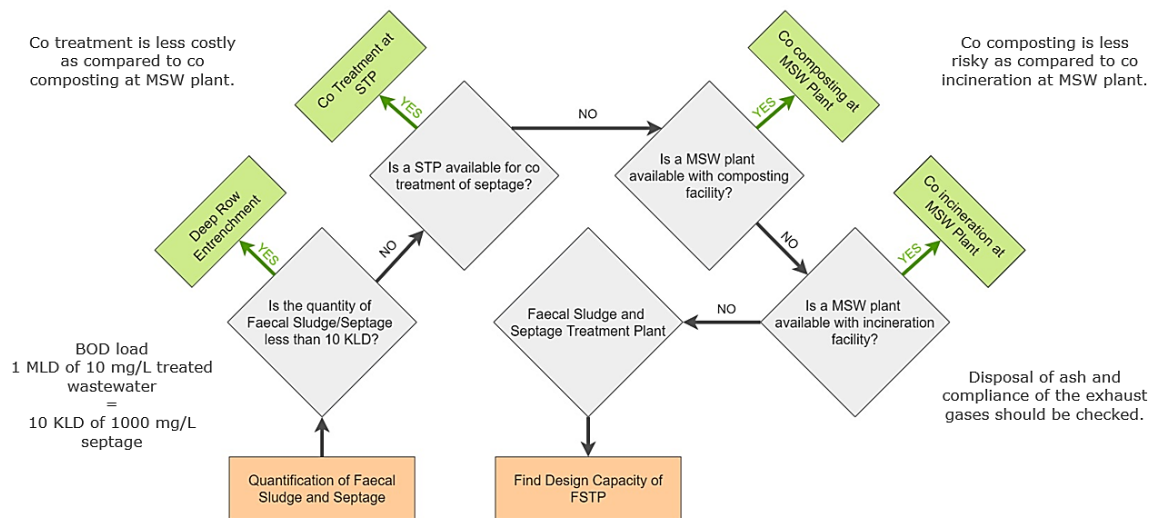


FIGURE 9: DECISION MAKING DIAGRAM FOR SELECTING THE FAECAL SLUDGE AND SEPTAGE MANAGEMENT APPROACH

To know more about how to use this decision making diagram, a separate module has been developed titled **“Co-treatment of faecal sludge and septage with sewage in sewage treatment plant”**. In order to understand the design of an FSSTP, you may refer to the advanced module titled **“Faecal Sludge and Septage Management”** on the Sanitation Capacity Building Platform online portal.

**From the preliminary information provided in section 1.4, what are the logical steps you suggest for an appropriate FSSM approach?**

**Solution:**

Section

**06**

# **O&M Cost of FSSM**

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## 6 Operation and Maintenance (O&M) Cost of FSSM

After completing the planning of emptying and conveyance stage of FSSM, you have to select an appropriate treatment scheme that will cater to the quantum of FSS generated in the project. The capital cost for this treatment plant will also require a careful estimation as the ULB will cater to it from the funds received through the state government. However, the O&M cost of the complete system needs to be borne by the ULB. Hence, you will now calculate the O&M expenses for implementing FSSM in this project.

### 6.1 Emptying and conveyance

As the project manager, you conducted a stakeholder consultation with private desludging service providers in the city. Table 9 provides important inferences from this consultation.

**TABLE 9: INFERENCES DRAWN FROM STAKEHOLDER CONSULTATIONS FOR ESTIMATING THE O&M COST OF EMPTYING AND CONVEYANCE STAGE**

			
Average Distance 18 km per trip	Mileage of Truck 4 km per Litre	Human Resource 2 persons per truck	Protective Equipment INR 15,000 per person-year
			
Fuel Price INR 72 per Litre	O&M Equipment INR 6500 per month	Salary INR 15,000 per person-month	Overheads 10% Total O&M cost

#### Calculate cost of fuel

$$\text{Fuel cost} \left[ \frac{\text{INR}}{\text{trip}} \right] = \frac{\text{Average Distance [km]} \times \text{Fuel cost} \left[ \frac{\text{INR}}{\text{L}} \right]}{\text{Mileage} \left[ \frac{\text{km}}{\text{L}} \right]}$$

$$\text{Fuel cost} \left[ \frac{\text{INR}}{\text{annum}} \right] = \text{Fuel cost} \left[ \frac{\text{INR}}{\text{trip}} \right] \times \text{No. of trips} \left[ \frac{\text{no.}}{\text{day}} \right] \times \text{No. of working days} \left[ \frac{\text{days}}{\text{annum}} \right]$$



Fuel cost = \_\_\_\_\_ INR per annum

**Calculate O&M cost of equipment**

$$\text{Equipment O\&M cost} \left[ \frac{\text{INR}}{\text{annum}} \right] = \text{O\&M cost of equipment} \left[ \frac{\text{INR}}{\text{month}} \right] \times \text{No. of trucks [no.]}$$

O&M cost of equipment = \_\_\_\_\_ INR per annum

**Calculate cost of human resources**

$$\begin{aligned} \text{HR cost} \left[ \frac{\text{INR}}{\text{annum}} \right] \\ = \text{No. of trucks [no.]} \times \text{Human resource} \left[ \frac{\text{persons}}{\text{truck}} \right] \times \text{Salary} \left[ \frac{\text{INR}}{\text{person.month}} \right] \\ \times 12 \left[ \frac{\text{months}}{\text{annum}} \right] \end{aligned}$$

Human resources cost = \_\_\_\_\_ INR per annum

**Calculate cost for protective equipment**

$$\text{PE cost} \left[ \frac{\text{INR}}{\text{annum}} \right] = \text{No. of trucks [no.]} \times \text{Human resource} \left[ \frac{\text{persons}}{\text{truck}} \right] \times \text{PE cost} \left[ \frac{\text{INR}}{\text{person. annum}} \right]$$

Protective equipment cost = \_\_\_\_\_ INR per annum

**Calculate O&M cost**

$$\text{O\&M cost} \left[ \frac{\text{INR}}{\text{annum}} \right] = \text{Fuel cost} + \text{Equipment O\&M cost} + \text{HR cost} + \text{PE cost}$$

O&M cost = \_\_\_\_\_ INR per annum

Calculate overhead cost

$$\text{Overhead cost} \left[ \frac{\text{INR}}{\text{annum}} \right] = \text{Overheads} [\%] \times \text{O\&M cost} \left[ \frac{\text{INR}}{\text{annum}} \right]$$

Overhead cost = \_\_\_\_\_ INR per annum

Calculate total O&M cost of emptying and conveyance

$$\text{Total O\&M cost} \left[ \frac{\text{INR}}{\text{annum}} \right] = \text{O\&M cost} + \text{Overhead cost}$$

Total O&M cost = \_\_\_\_\_ INR per annum

Calculate the percentage contribution under different heads to total O&M cost.  
Document your critical observations.

$$\text{Percent contribution} [\%] = \frac{\text{Individual cost} \left[ \frac{\text{INR}}{\text{annum}} \right]}{\text{Total O\&M cost} \left[ \frac{\text{INR}}{\text{annum}} \right]} \times 100$$

Type of cost	Cost [INR/annum]	Contribution [%]
Fuel cost		
Equipment O&M cost		
Human resource cost		
Protective equipment cost		







Observations:

## 6.2 Treatment

After calculating the cost of emptying and conveyance services of FSSM, the next step is to calculate cost of treatment system. The treatment system selected here is a non-mechanized treatment scheme.

You have consulted with the operator of an STP in the city for understanding the expenses towards various human resources required in a treatment plant. Additionally, some assumptions are also necessary to be made for calculations. Table 10 gives all the data and assumption required for calculating O&M cost of the treatment system.

**TABLE 10: DATA AND ASSUMPTIONS MADE FOR CALCULATING O&M COST OF TREATMENT SYSTEM**

	Energy Cost INR 12,000 per month																										
	Equipment O&M INR 8,000 per month	<table border="1"> <thead> <tr> <th colspan="2">Human Resources [no.]</th> <th colspan="2">Salary [INR/month]</th> </tr> </thead> <tbody> <tr> <td>Operator</td> <td>1</td> <td>Operator</td> <td>35,000</td> </tr> <tr> <td>Chemist</td> <td>1</td> <td>Chemist</td> <td>30,000</td> </tr> <tr> <td>Skilled worker</td> <td>4</td> <td>Skilled worker</td> <td>20,000</td> </tr> <tr> <td>Unskilled worker</td> <td>9</td> <td>Unskilled worker</td> <td>12,000</td> </tr> <tr> <td>Security guards</td> <td>2</td> <td>Security guards</td> <td>15,000</td> </tr> </tbody> </table>		Human Resources [no.]		Salary [INR/month]		Operator	1	Operator	35,000	Chemist	1	Chemist	30,000	Skilled worker	4	Skilled worker	20,000	Unskilled worker	9	Unskilled worker	12,000	Security guards	2	Security guards	15,000
Human Resources [no.]				Salary [INR/month]																							
Operator	1	Operator	35,000																								
Chemist	1	Chemist	30,000																								
Skilled worker	4	Skilled worker	20,000																								
Unskilled worker	9	Unskilled worker	12,000																								
Security guards	2	Security guards	15,000																								
	Protective Equipment INR 8,000 per annum																										
	Overheads 15% Total O&M cost																										

### Calculate cost of energy

$$\text{Energy cost} \left[ \frac{\text{INR}}{\text{annum}} \right] = \text{Energy cost} \left[ \frac{\text{INR}}{\text{month}} \right] \times 12 \left[ \frac{\text{months}}{\text{annum}} \right]$$

Energy cost = \_\_\_\_\_ INR per annum

### Calculate O&M cost of equipment

$$\text{Equipment O\&M cost} \left[ \frac{\text{INR}}{\text{annum}} \right] = \text{Equipment O\&M cost} \left[ \frac{\text{INR}}{\text{month}} \right] \times 12 \left[ \frac{\text{months}}{\text{annum}} \right]$$

O&M cost of equipment = \_\_\_\_\_ INR per annum

### Calculate cost of protective equipment

$$\text{PE cost} \left[ \frac{\text{INR}}{\text{annum}} \right] = \text{Total no. of human resources [no.]} \times \text{PE cost} \left[ \frac{\text{INR}}{\text{person. annum}} \right]$$

Protective equipment cost = \_\_\_\_\_ INR per annum

### Calculate cost of human resources

$$\text{Salary} \left[ \frac{\text{INR}}{\text{annum}} \right] = \text{No. of human personnel} \times \text{Salary} \left[ \frac{\text{INR}}{\text{person. month}} \right] \times 12 \left[ \frac{\text{months}}{\text{annum}} \right]$$

Human Resource	Number	Salary [INR/person-month]	Salary [INR/annum]
Operator	1	35,000	
Chemist	1	30,000	
Skilled worker	4	20,000	
Unskilled worker	9	12,000	
Security guards	2	15,000	

Total cost of human resources = \_\_\_\_\_ INR per annum

### Calculate O&M cost

$$\text{O\&M cost} \left[ \frac{\text{INR}}{\text{annum}} \right] = \text{Energy cost} + \text{Equipment O\&M cost} + \text{HR cost} + \text{PE cost}$$

O&M cost = \_\_\_\_\_ INR per annum

### Calculate overhead cost

$$\text{Overhead cost} \left[ \frac{\text{INR}}{\text{annum}} \right] = \text{Overheads [\%]} \times \text{O\&M cost} \left[ \frac{\text{INR}}{\text{annum}} \right]$$

Overhead cost = \_\_\_\_\_ INR per annum

### Calculate total O&M cost of emptying and conveyance

$$\text{Total O\&M cost} \left[ \frac{\text{INR}}{\text{annum}} \right] = \text{O\&M cost} + \text{Overhead cost}$$

Total O&M cost = \_\_\_\_\_ INR per annum

Calculate the percentage contribution under different heads to total O&M cost.  
Document your critical observations.

$$\text{Percent contribution [\%]} = \frac{\text{Individual cost} \left[ \frac{\text{INR}}{\text{annum}} \right]}{\text{Total O\&M cost} \left[ \frac{\text{INR}}{\text{annum}} \right]} \times 100$$

Type of cost	Cost [INR/annum]	Contribution [%]
Energy cost		
Equipment O&M cost		
Human resource cost		
Protective equipment cost		

Observations:

## 6.3 Financial model

The two major financial models used in FSSM are: (a) tax model, and (b) service fee model.

### Calculate total cost of O&M for FSSM

$$\begin{aligned} \text{Total O\&M cost for FSSM} & \left[ \frac{\text{INR}}{\text{annum}} \right] \\ & = \text{O\&M cost of desludging services} \left[ \frac{\text{INR}}{\text{annum}} \right] \\ & + \text{O\&M cost of treatment system} \left[ \frac{\text{INR}}{\text{annum}} \right] \end{aligned}$$

**Total O&M cost of FSSM = \_\_\_\_\_ INR per annum**

### Tax model

In case of the tax model, a fixed amount is collected from each registered property as tax for the FSSM services. The desludging service is then provided in a scheduled manner. Here, the desludging frequency is 3 years. In case of scheduled desludging, the ULB will have to adopt tax model and provide regular desludging services to households as well as CTs and PTs. An advantage of the tax model is the improvement in affordability of the services by households. However, for this model to work well it is very important that the ULB builds a good management information system linked to its tax revenue for implementing these services.

Assuming a tax collection efficiency of 62% by the ULB, calculate the FSSM tariff.

$$\begin{aligned} \text{FSSM tariff} & \left[ \frac{\text{INR}}{\text{household. annum}} \right] \\ & = \frac{\text{Total O\&M cost of FSSM} \left[ \frac{\text{INR}}{\text{annum}} \right]}{\text{Total no. of HHs [no.]} \times \text{Tax collection efficiency [\%]}} \end{aligned}$$

**FSSM tariff = \_\_\_\_\_ INR per annum for each household**

## Service fee model

In case of the service fee model, a customer will pay a fee upon receiving the service. The service fee model needs to be adopted in case of demand desludging.

$$FSSM \text{ service fee } \left[ \frac{INR}{\text{service}} \right] = \frac{\text{Total O\&M cost of FSSM } \left[ \frac{INR}{\text{annum}} \right]}{\text{Total no. of HHs to be serviced } \left[ \frac{\text{no.}}{\text{annum}} \right]}$$

**FSSM service fee = \_\_\_\_\_ INR per service**

In order to increase the affordability of services for the households, this service fee needs to be capped at a certain price level. This can be achieved by increasing the frequency of desludging. For example, a desludging frequency of 5 years will result in a lower service fee as compared to the service fee calculated for 3 years. Hence, during initial calculations, it is important that you choose a desludging frequency very carefully.

## 6.4 Summary

- The cost of desludging is significantly impacted by desludging frequency.
- Human resources cost contributes significantly to O&M cost of FSSM.
  - Selection of treatment technology is quite critical as mechanized treatment requires specialized personnel which is often costly.
- Fuel cost is the second highest contributor to O&M cost of desludging services.
  - Treatment plant should be located as close as possible to the city.
- Cost of safety is negligible; however, it is important to consider it to protect the health of human resources involved in service provision.
- Affordability of services determines the demand for desludging services by the households.











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### About NIUA

NIUA is a premier national institute for research, capacity building and dissemination of knowledge in the urban sector, including sanitation. Established in 1976, it is the apex research body for the Ministry of Housing and Urban Affairs (MoHUA), Government of India. NIUA is also the strategic partner of the MoHUA in capacity building for providing single window services to the MoHUA/states/ULBs.

### About SCBP

The Sanitation Capacity Building Platform (SCBP) is an initiative of the National Institute of Urban Affairs (NIUA) to address urban sanitation challenges in India. SCBP, supported by Bill & Melinda Gates Foundation (BMGF) is an organic and growing collaboration of credible national and international organisations, universities, training centres, resource centres, non-governmental organisations, academia, consultants and experts. SCBP supports national urban sanitation missions, states and ULBs, by developing and sourcing the best capacity building, policy guidance, technological, institutional, financial and behaviour change advice for FSSM. SCBP provides a unique opportunity for:

- Sharing and cross learning among the partner organisations, to pool in their knowledge resources on all aspects of urban sanitation capacity building;
- Developing training modules, learning and advocacy material including key messages and content, assessment reports and collating knowledge products on FSSM. Through its website ([scbp.niua.org](http://scbp.niua.org)), SCBP is striving to create a resource centre on learning and advocacy materials, relevant government reports, policy documents and case studies;
- Dissemination of FSSM research, advocacy and outreach to State governments and ULBs.

Its strength is its ability to bring together partners to contribute towards developing state sanitation policy, training of trainers and training content development, technical and social assessments, training programme delivery, research and documentation.



National Institute of Urban Affairs

## National Institute of Urban Affairs

1<sup>st</sup> Floor, Core 4B, India Habitat Centre, Lodhi Road, New Delhi - 110003

Phone: 011-24617517, 24617543, 24617595, Fax: 011-24617513

E-mail: [niua@niua.org](mailto:niua@niua.org) • Website: [www.niua.org](http://www.niua.org), [scbp.niua.org](http://scbp.niua.org)

